



**WA Davidson Financial Services (Pty) Ltd**  
**Registration number 2004/020205/07**  
**FSP number 18762**  
**("WADFS")**

**FAIS DISCLAIMER**

In terms of the Financial Advisory and Intermediary Services ("FAIS") Act No. 37 of 2002 you are entitled to the following information:

---

**WA Davidson Financial Services (Pty) Ltd ("WADFS")**

**Address:** 1st Floor, Block 2 – 66 Peter Place, Hurlingham Ext 5, Sandton

Private Bag X3060 Randburg, 2125

**Tel:** +27 (11) 463 9336 [www.wadavidson.co.za](http://www.wadavidson.co.za)

**Email:** [info@wadfs.co.za](mailto:info@wadfs.co.za)

**Registration Number:** 2004/020205/07 VAT Reg:

WADFS is a licensed Financial Services Provider in terms of FAIS, license number 18762 as well as an authorized 13B administrator. Copies of our licenses are available upon request.

### **Financial Services and Products:**

WADFS is authorised to render advice and intermediary services in respect of the following financial products:

#### **Category 1**

- Long-Term Insurance subcategory A
- Long-Term Insurance subcategory B1
- Long-Term Insurance subcategory B1-A
- Long-Term Insurance subcategory B2
- Long-Term Insurance subcategory B2-A
- Long-Term Insurance subcategory C
- Retail Pension Benefits
- Pension Fund Benefits
- Participating interests in a collective investment scheme
- Health Services Benefits

### **Authorised Representatives:**

WADFS has duly authorised certain individuals to render intermediary services as defined in terms of FAIS under the WADFS license. At any given time, these representatives may be under supervision. Current Representatives include the following:

- Nicole Cochlovius
- Manfred Cochlovius
- Chantal Wheeler
- Ian Tindall

### **Key Individuals:**

- Ian Tindall
- Nicole Cochlovius

### **Conflicts of Interest:**

Conflicts of interest are inherent in any business and therefore the aim of WADFS is to take steps to identify, disclose and manage conflicts of interest that may arise and to further ensure that our clients are not unduly prejudiced.

The Conflict of Interest policy is available on the website; alternatively, by request.

### **Indemnity Cover:**

WADFS holds sufficient professional indemnity insurance as required by FAIS.

### **Compliance with Financial Advisory and Intermediary Services Act "FAIS":**

Compliance with the FAIS Act is monitored by our external Compliance Officer, Bronwyn Dick, who is contactable on +27 11 463 9336 or via email at [bronwyn@wadavidson.co.za](mailto:bronwyn@wadavidson.co.za)

### **Financial Intelligence Centre Act (FICA):**

Please note that in terms of the Financial Intelligence Centre Act (FICA), or any amendments thereto, DMA is an accountable institution under law and as such obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

**Complaints:**

Should you wish to pursue a complaint against a representative of WADFS, you should address your complaint in writing to Legal & Compliance at the address shown at the head of this letter or e-mail [info@wadfs.co.za](mailto:info@wadfs.co.za).

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any complaints regarding financial services given to you by a licensed financial services provider.

Contact particulars of the Ombud:

Postal: PO Box 74571, Lynnwood Ridge 0040 Tel: 012 470 9080

Fax: 012 348 3447

Email: [info@faisombud.co.za](mailto:info@faisombud.co.za)

Website: [www.faisombud.co.za](http://www.faisombud.co.za)

You may also lay a complaint by selecting the relevant links on the FSCA website: [www.FSCA.co.za](http://www.FSCA.co.za)